

700912215 PM 2:20
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jerald A. Hammann

Examiner: Beth Van Doren

Serial No.: 09/840,332

Group Art Unit: 3623

Filed: April 23, 2001

Docket No.: H238.101.101

Title: SYSTEM AND METHOD EMPLOYING CAPACITY/DEMAND
MANAGEMENT IN HUMAN-FACTOR RESOURCE INDUSTRY

**REQUEST FOR REFUND UNDER 37 C.F.R. §1.28 AND WRITTEN ASSERTION OF
STATUS AS SMALL ENTITY UNDER 37 C.F.R. §1.27(c)(1)**

Mail Stop 16
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam:

I. Submission Of Small Entity Assertion

- ☐ Attached is an assertion of small entity status in this application.
- ☒ An assertion of small entity status was filed in this application on April 23, 2001.

II. Refund Request

This Request for Refund is made within four months of the date the full fee was paid in this application on November 28, 2008, in the amount of \$130.00.

III. Fee Paid for Which Refund Requested

- ☒ Extension of Term

**Amount of Refund
Requested**
\$65.00

Applicant: Jerald A. Hammann

Serial No.: 09/840.332

Filing Date: April 23, 2001

Docket: 11238.101.101

Title: SYSTEM AND METHOD EMPLOYING CAPACITY/DEMAND MANAGEMENT IN HUMAN-FACTOR
RESOURCE INDUSTRY

IV. Manner of Refund

Please make refund by crediting:

☒ Deposit Account No. 50-0471

☐ Credit card as shown on the attached credit card information authorization form

(PTO-2038)

☐ Refunding overpayment.

Respectfully submitted,

Jerald A. Hammann,

By his attorneys

DICKE, BILLIG & CZAJA, PLLC

Fifth Street Towers, Suite 2250

100 South Fifth Street

Minneapolis, MN 55402

Telephone: (612) 573-2003

Facsimile: (612) 573-2005

Date: March 13, 2009

PGB/mlm

By: /Patrick G. Billig/

Patrick G. Billig

Reg. No. 38,080



United States Patent and Trademark Office

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Page**Deposit Account Statement**

Requested Statement Month: November 2008
 Deposit Account Number: 500471
 Name: DICKE BILLIG & CZAJA, PA
 Attention: STEVE DICKE
 Street Address 1: FIFTH STREET TOWERS
 Street Address 2: 100 SOUTH FIFTH STREET, STE. 2250
 City: MINNEAPOLIS
 State: MN
 Zip: 55402
 Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/03	2096	12195157	I434.205.101/IFT1310US	1051	\$130.00	\$22,732.94
11/03	2204	12195157	I434.205.101/IFT1310US	8021	\$40.00	\$22,692.94
11/03	6	11577285	I432.145.101/P35310	1051	-\$130.00	\$22,822.94
11/03	7	11577285	I432.145.101/P35310	1617	\$130.00	\$22,692.94
11/03	8930	61104881	R815.103.101	8021	\$40.00	\$22,652.94
11/03	11017	6888763	F630.114.101	2551	\$490.00	\$22,162.94
11/04	3179	61110762	Z855.101.101	2005	\$110.00	\$22,052.94
11/04	3375	77246250	M324.634.101	7003	\$100.00	\$21,952.94
11/04	3457	11607354	I438.164.101	1251	\$130.00	\$21,822.94
11/04	3458	11607354	I438.164.101	1801	\$810.00	\$21,012.94
11/04	3922	12263932	Q325.102.102	1011	\$330.00	\$20,682.94
11/04	3923	12263932	Q325.102.102	1111	\$540.00	\$20,142.94
11/04	3924	12263932	Q325.102.102	1311	\$220.00	\$19,922.94
11/04	3925	12263932	Q325.102.102	1202	\$260.00	\$19,662.94
11/04	4480	77465778	G354.639.101	7001	\$975.00	\$18,687.94
11/04	54	12288841	5897.118.101	8021	\$40.00	\$18,647.94
11/04	11480	12205006	Q600.105.101	8021	\$40.00	\$18,607.94
11/04	38	11035911	10478US01	1251	\$130.00	\$18,477.94
11/04	67	11948952	I550.109.101/2006P51008US	1251	\$130.00	\$18,347.94
11/05	15309	10887620	59863US002	1252	\$490.00	\$17,857.94
11/05	15310	10887620	59863US002	1401	\$540.00	\$17,317.94
11/05	16375	77607071	R502.601.102	7007	\$275.00	\$17,042.94
11/05	21141	10558114	I431.138.101/FIN 461 PCT/	1501	\$1,510.00	\$15,532.94
11/05	21142	10558114	I431.138.101/FIN 461 PCT/	1504	\$300.00	\$15,232.94
11/05	22015	12184819	I447.117.101/13195PUS	1051	\$130.00	\$15,102.94

Deposit Account Statement

11/26 3287 12277894	I446.223.101/I0840US	1011	\$330.00	\$25,994.94
11/26 3288 12277894	I446.223.101/I0840US	1111	\$540.00	\$25,454.94
11/26 3289 12277894	I446.223.101/I0840US	1311	\$220.00	\$25,234.94
11/26 3290 12277894	I446.223.101/I0840US	1202	\$208.00	\$25,026.94
11/26 3291 12277894	I446.223.101/I0840US	1201	\$440.00	\$24,586.94
11/26 6020 12323138	K396.101.103	4011	\$82.00	\$24,504.94
11/26 6022 12323138	K396.101.103	2311	\$110.00	\$24,394.94
11/26 6021 12323138	K396.101.103	2111	\$270.00	\$24,124.94
11/26 9499 1903590	F641.601.101	8521	\$40.00	\$24,084.94
11/26 13883 78930041	R837.601.101	7004	\$150.00	\$23,934.94
11/26 14642 09809805	49588US013	1401	\$540.00	\$23,394.94
11/28 4001 11378201	I438.176.101	1501	\$1,510.00	\$21,884.94
11/28 4002 11378201	I438.176.101	1504	\$300.00	\$21,584.94
11/28 4491 11854849	I560.109.101/2007P50465US	1501	\$1,510.00	\$20,074.94
11/28 4492 11854849	I560.109.101/2007P50465US	1504	\$300.00	\$19,774.94
11/28 5121 09840332	H238.101.101	1251	\$130.00	\$19,644.94
11/28 5243 09999378	H238.102.101	1251	\$130.00	\$19,514.94
11/28 5361 10939939	200400027-1	1251	\$130.00	\$19,384.94
11/28 5785 12324309	I434.213.101/IFT1251US	1011	\$330.00	\$19,054.94
11/28 5786 12324309	I434.213.101/IFT1251US	1111	\$540.00	\$18,514.94
11/28 5787 12324309	I434.213.101/IFT1251US	1311	\$220.00	\$18,294.94
11/28 5788 12324309	I434.213.101/IFT1251US	1202	\$260.00	\$18,034.94
11/28 6005 12324327	I450.101.101/INF120801733	1011	\$330.00	\$17,704.94
11/28 6006 12324327	I450.101.101/INF120801733	1111	\$540.00	\$17,164.94
11/28 6007 12324327	I450.101.101/INF120801733	1311	\$220.00	\$16,944.94
11/28 6008 12324327	I450.101.101/INF120801733	1202	\$52.00	\$16,892.94
11/28 6009 12324327	I450.101.101/INF120801733	1201	\$220.00	\$16,672.94
11/28 6478 12324374	I447.125.101/13744PUS	1011	\$330.00	\$16,342.94
11/28 6479 12324374	I447.125.101/13744PUS	1111	\$540.00	\$15,802.94
11/28 6480 12324374	I447.125.101/13744PUS	1311	\$220.00	\$15,582.94
11/28 6481 12324374	I447.125.101/13744PUS	1202	\$260.00	\$15,322.94
11/28 6482 12324374	I447.125.101/13744PUS	1201	\$660.00	\$14,662.94
11/28 6802 12324401	I434.214.101/IFT1309US	1011	\$330.00	\$14,332.94
11/28 6805 12324401	I434.214.101/IFT1309US	1202	\$208.00	\$14,124.94
11/28 6806 12324401	I434.214.101/IFT1309US	1201	\$220.00	\$13,904.94
11/28 6804 12324401	I434.214.101/IFT1309US	1311	\$220.00	\$13,684.94
11/28 6803 12324401	I434.214.101/IFT1309US	1111	\$540.00	\$13,144.94
11/28 7044 12324420	I446.225.101/I0870US	1011	\$330.00	\$12,814.94
11/28 7045 12324420	I446.225.101/I0870US	1111	\$540.00	\$12,274.94
11/28 7046 12324420	I446.225.101/I0870US	1311	\$220.00	\$12,054.94
11/28 7047 12324420	I446.225.101/I0870US	1202	\$208.00	\$11,846.94
11/28 7048 12324420	I446.225.101/I0870US	1201	\$220.00	\$11,626.94
11/28 10717 12324628	324870.01	1011	\$330.00	\$11,296.94
11/28 10718 12324628	324870.01	1111	\$540.00	\$10,756.94
11/28 10719 12324628	324870.01	1311	\$220.00	\$10,536.94

START
BALANCESUM OF
CHARGESSUM OF
REPLENISH BALANCE

END

Electronic Patent Application Fee Transmittal

Application Number:

09840332

Filing Date:

23-Apr-2001

Title of Invention:

System and method employing yield management in human-factor resource industry

First Named Inventor/Applicant Name:

Jerald A. Hammann

Filer:

Patrick Gerard Billig/Michelle Murphy

Attorney Docket Number:

H238.101.101

Filed as Large Entity

Utility under 35 USC 111(a) Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Extension - 1 month with \$0 paid	1251	1	130	130

03/24/2009 SDIRETA1 00000007 500471 09840332
01 FC:2251 65.00 DA

Adjustment date: 03/24/2009 SDIRETA1
11/28/2008 INTEFSW 00002926 500471 09840332
01 FC:1251 130.00 CR

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Total in USD (\$)				130